

Follow up of agreed actions

Audit	Action	Agreed Date	Responsible Officer	Name of Officer	Action Completed?
IT Security 2021/22	<p>1.1 The BC and DR plans will be reviewed and updated to meet current Pensions Regulator standards. The BC plan will be updated to include arrangements for plan testing, post-incident reviews, and for contacting external stakeholders.</p> <p>1.2 The plans will be reviewed annually, and this review will be included in the governance document review tracker.</p>	31/03/2023	Head of Pensions Administration	Phillippa Cockerill	Not yet due
IT Security 2021/22	1.1 A programme of regular reporting will be agreed with NYCC T&C and documented in the Service Level Agreement. This will provide assurance that standards continue to be met and accreditation continues.	31/03/2023	Head of Pensions Administration	Phillippa Cockerill	Not yet due
IT Security 2021/22	2.1 Quarterly reports will be requested from NYCC T&C from the Boxphish learning platform. These will be	31/03/2023	Head of Pensions Administration	Phillippa Cockerill	Not yet due

Audit	Action	Agreed Date	Responsible Officer	Name of Officer	Action Completed?
	reviewed and monitored to ensure that staff complete training				
IT Security 2021/22	3.1 Password requirements within Altair will be reviewed and amended to ensure that they are in line with NYCC T&C's IT Access policy.	30/09/2022	Head of Pensions Administration	Phillippa Cockerill	Completed
IT Security 2021/22	4.1 Quarterly reviews of user accounts and roles will be established to ensure user access and roles are at the appropriate level for all users. 5.2 The use of the 'Client' user role for payroll staff will be reviewed to ensure they have the appropriate user role. Roles will be amended as needed following the review.	30/09/2022	Head of Pensions Administration	Phillippa Cockerill	Completed
Expenditure 2021/22	1. Amend bank account change process to include acknowledgement back to the pensioner of the change by letter, where the portal hasn't been used to make the bank account change.	31/03/2023	Head of Pensions Administration	Phillippa Cockerill	Not yet due